

# Collaborative Memory Network for Recommendation Systems

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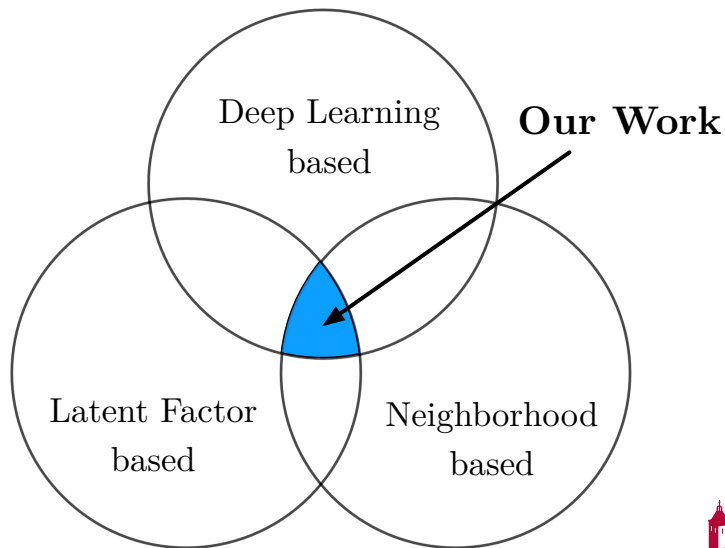
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# Motivations

- Collaborative Filtering (CF) Classes
  - Latent Factor-based
  - Memory/Neighborhood-based
  - Hybrid Models
- Deep Learning-based methods can model nonlinear user-item relations however, they ignore neighborhood information.
- We propose a deep architecture to unify the 2 classes of CF.

# Motivations



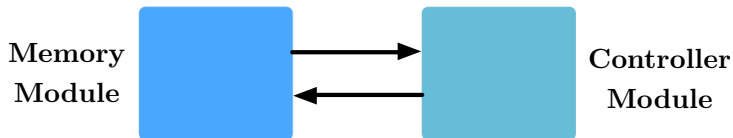
# Contributions

- We propose Collaborative Memory Network (CMN), leveraging a memory network and neural attention mechanism.
- We reveal the connection between CMN with existing collaborative filtering methods and memory networks.

# Memory Networks

Memory-based architectures generally consist of two components:

- Memory Module: stores long-term knowledge representations
- Controller Module: manipulates the memory (e.g. read/write operations)

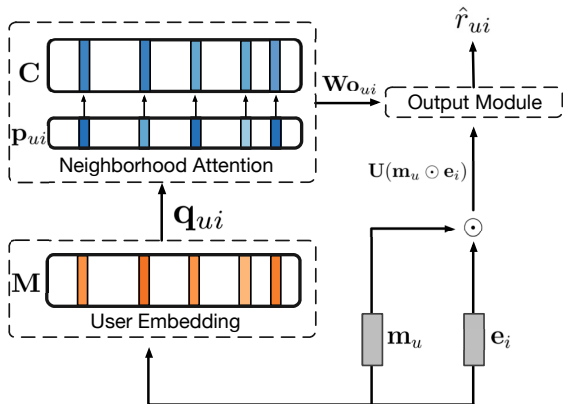


# Collaborative Memory Network (CMN)

## Model Overview

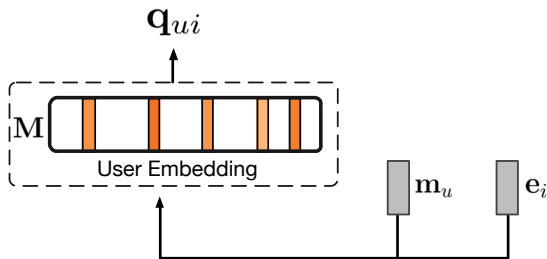
Three core components:

- User Embedding
- Neighborhood Attention
- Output Module



## User Embedding

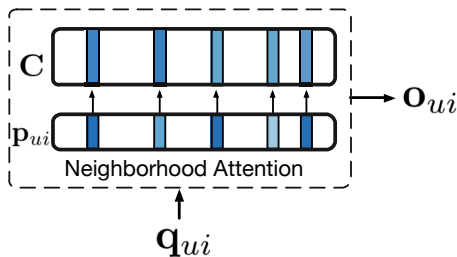
User  $u$  is embedded into a  $d$  dimensional memory slot  $\mathbf{m}_u$  and similarly item  $i$  to  $\mathbf{e}_i$



$$q_{uiv} = \mathbf{m}_u^T \mathbf{m}_v + \mathbf{e}_i^T \mathbf{m}_v \quad \forall v \in N(i)$$

where  $N(i)$  represents the set of all users (neighborhood) who rated item  $i$ .

# Neighborhood Attention



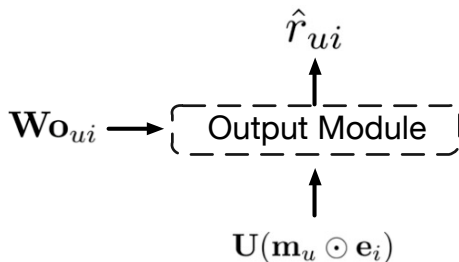
The neural attention mechanism weights each user's contribution to the neighborhood:

$$p_{uiv} = \frac{\exp(q_{uiv})}{\sum_{k \in N(i)} \exp(q_{uik})} \quad \forall v \in N(i) \quad \mathbf{o}_{ui} = \sum_{v \in N(i)} p_{uiv} \mathbf{c}_v$$



# Output Module

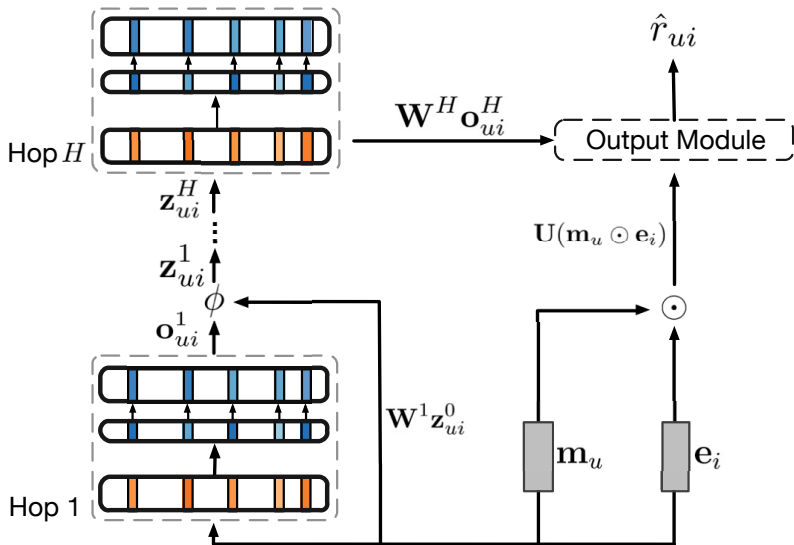
For a given user  $u$  and item  $i$  the ranking score is:



$$\hat{r}_{ui} = \mathbf{v}^T \phi(\mathbf{U}(\mathbf{m}_u \odot \mathbf{e}_i) + \mathbf{W}\mathbf{o}_{ui} + \mathbf{b})$$

where  $\odot$  is the elementwise product and  $\phi(x) = \max(0, x)$

## Multiple Hops



# Parameter Estimation

User  $u$  prefers the observed item  $i^+$  over the unobserved or negative item  $i^-$  forming triplet preferences  $(u, i^+, i^-)$ .

$$\mathcal{L} = - \sum_{(u, i^+, i^-)} \log \sigma(\hat{r}_{ui^+} - \hat{r}_{ui^-})$$

where  $\sigma(x) = 1/(1 + \exp(-x))$

# Relation to Existing Models

## Latent Factor Model

$$\text{CMN} \quad \hat{r}_{ui} = \mathbf{v}^T \phi(\mathbf{U}(\mathbf{m}_u \odot \mathbf{e}_i)) + \mathbf{W}\mathbf{o}_{ui} + \mathbf{b}$$

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$$\text{CMN} \quad \hat{r}_{ui} = \mathbf{v}^T \phi(\mathbf{U}(\mathbf{m}_u \odot \mathbf{e}_i)) + \mathbf{W}\mathbf{o}_{ui} + \mathbf{b}$$

$$\text{GMF} \quad \hat{r}_{ui} = \mathbf{v}^T \phi(\mathbf{m}_u \odot \mathbf{e}_i)$$

$$\text{MF} \quad \hat{r}_{ui} = \mathbb{1}^T (\mathbf{m}_u \odot \mathbf{e}_i)$$

# Relation to Existing Models

## Neighborhood-based Similarity Models

Neighborhood-based similarity models estimate a user-user (item-item) similarity matrix  $\mathbf{S}$ .

CMN

$$\hat{r}_{ui} = \mathbf{v}^T \phi(\mathbf{U}(\mathbf{m}_u \odot \mathbf{e}_i) + \mathbf{W} \sum_{v \in N(i)} p_{uiv} \mathbf{c}_v) + \mathbf{b}$$

Neighborhood

$$\hat{r}_{ui} = \alpha \sum_{v \in N(i)} \mathbf{S}_{uv}$$

# Relation to Existing Models

## Hybrid Models

Hybrid models consist of two general terms: user-item latent factor interaction and a neighborhood component.

$$\text{CMN} \quad \hat{r}_{ui} = \mathbf{v}^T \phi(\mathbf{U}(\mathbf{m}_u \odot \mathbf{e}_i)) + \mathbf{W}\mathbf{o}_{ui} + \mathbf{b}$$

$$\text{Hybrid CF} \quad \hat{r}_{ui} = \mathbf{v}^T \phi(\underbrace{\mathbf{m}_u \odot \mathbf{e}_i}_{\text{Latent Factors}} + \underbrace{\sum_{v \in N(i)} p_{uiv} \mathbf{c}_v}_{\text{Neighborhood}})$$



# Experimental Settings

<b>Dataset</b>	<b>Ratings</b>	<b>Users</b>	<b>Items</b>	<b>Sparsity</b>
<i>Epinions</i>	664,823	40,163	139,738	99.98%
<i>citeulike-a</i>	204,987	5,551	16,980	99.78%
<i>Pinterest</i>	1,500,809	55,187	9,916	99.73%

- Top- $N$  Evaluation Metrics

- Hit Rate (HR): measures presence of the ground truth item in the ranked list.
- NDCG: considers the relative ranking of ground truth item in the ranked list.

# Baseline Comparison

## Neighborhood-based

- Item-KNN (KNN)
- Factored Item Similarity Model (FISM)

## Latent Factor-based

- Bayesian Personalized Ranking (BPR)

## Hybrid Collaborative Filtering

- SVD++

## Deep Learning-based

- Generalized Matrix Factorization (GMF)
- Collaborative Denoising Auto Encoder (CDAE)
- Neural Matrix Factorization (NeuMF)

Baseline Comparison - *Epinions* dataset

	HR@5	HR@10	NDCG@5	NDCG@10
KNN	0.1549	0.1555	0.1433	0.1435
FISM	0.5542	0.6717	0.4192	0.4573
BPR	0.5584	0.6659	0.4334	0.4683
SVD++	0.5628	0.6754	0.4112	0.4477
GMF	0.5365	0.6562	0.4015	0.4404
CDAE	0.5666	0.6844	0.4333	0.4715
NeuMF	0.5500	0.6660	0.4214	0.4590
CMN-1	0.5962	0.6943	0.4684	0.5003
CMN-2	0.6017†	<b>0.7007†</b>	0.4724†	0.5045†
CMN-3	<b>0.6020†</b>	0.6985†	<b>0.4748†</b>	<b>0.5062†</b>

**Table:** Experimental results for different methods on the *Epinions* dataset. Best results highlighted in bold. † indicates the improvement over baselines is statistically significant on a paired  $t$ -test ( $p < 0.01$ ).

Baseline Comparison - *citeulike-a* dataset

	HR@5	HR@10	NDCG@5	NDCG@10
KNN	0.6990	0.7348	0.5789	0.5909
FISM	0.6727	0.8072	0.5106	0.5545
BPR	0.6547	0.8083	0.4858	0.5357
SVD++	0.6952	0.8199	0.5244	0.5649
GMF	0.7271	0.8326	0.5689	0.6034
CDAE	0.6799	0.8103	0.5106	0.5532
NeuMF	0.7629	0.8647	0.5985	0.6316
CMN-1	0.6692	0.7809	0.5213	0.5575
CMN-2	<b>0.7959</b> †	<b>0.8921</b> †	0.6185†	0.6500†
CMN-3	0.7932†	0.8901†	<b>0.6234</b> †	<b>0.6551</b> †

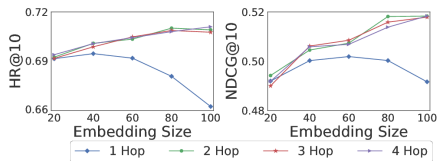
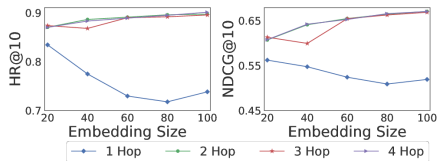
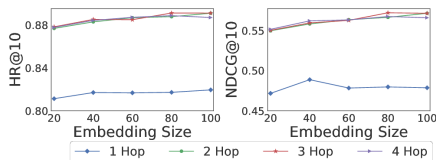
**Table:** Experimental results for different methods on the *citeulike-a* dataset. Best results highlighted in bold. † indicates the improvement over baselines is statistically significant on a paired *t*-test ( $p < 0.01$ ).

Baseline Comparison - *Pinterest* dataset

	HR@5	HR@10	NDCG@5	NDCG@10
KNN	0.5738	0.8376	0.3450	0.4310
FISM	0.6783	0.8654	0.4658	0.5268
BPR	0.6936	0.8674	0.4912	0.5479
SVD++	0.6951	0.8684	0.4796	0.5362
GMF	0.6726	0.8505	0.4737	0.5316
CDAE	0.7008	0.8722	0.4966	0.5525
NeuMF	0.7041	0.8732	0.4978	0.5530
CMN-1	0.6984	0.8662	0.4960	0.5507
CMN-2	0.7267†	0.8904†	<b>0.5180</b> †	0.5714†
CMN-3	<b>0.7277</b> †	<b>0.8931</b> †	0.5175†	<b>0.5715</b> †

**Table:** Experimental results for different methods on the *Pinterest* dataset. Best results highlighted in bold. † indicates the improvement over baselines is statistically significant on a paired *t*-test ( $p < 0.01$ ).

# Embedding Size

(a) *Epinions* dataset(b) *citeulike-a* dataset(c) *Pinterest* dataset

**Figure:** Experimental results for CMN varying the embedding size from 20-100 and hops from 1-4.

# Effects of Attention & Nonlinearity

	<i>Epinions</i>		<i>citeulike-a</i>		<i>Pinterest</i>	
	HR@10	NDCG@10	HR@10	NDCG@10	HR@10	NDCG@10
CMN	0.7007	0.5045	0.8921	0.6500	0.8904	0.5714
CMN-Attn	0.6948	0.4809	0.8589	0.5887	0.8773	0.5530
CMN-Linear	0.6977	0.4992	0.8665	0.6282	0.8777	0.5534
CMN-Linear-Attn	0.6937	0.4816	0.8676	0.6256	0.8775	0.5527

**Table:** CMN variants without attention (CMN-Attn); linear activation with attention (CMN-Linear); and linear without attention (CMN-Linear-Attn).

# Negative Sampling

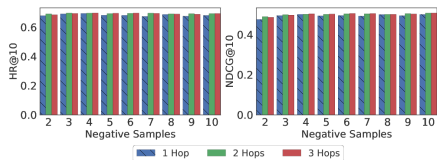
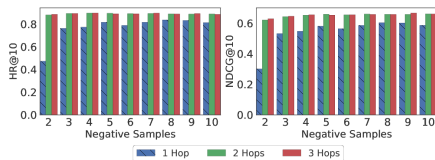
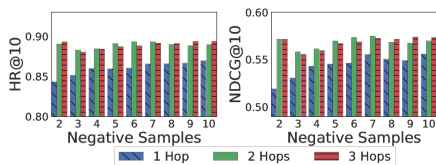
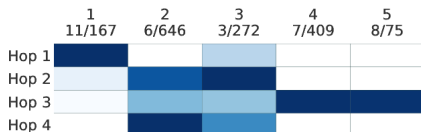
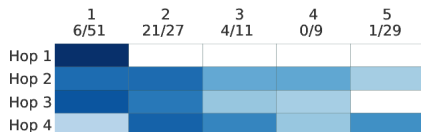
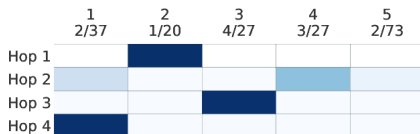
(a) *Epinions* dataset(b) *citeulike-a* dataset(c) *Pinterest* dataset

Figure: Experimental results for CMN varying the number of negative samples from 2-10 and hops from 1-3.



# Attention Visualization

(a) *Epinions* dataset(b) *citeulike-a* dataset(c) *Pinterest* dataset

**Figure:** Heatmap of the attention weights over four hops. The color scale indicates the intensities of the weights, darker representing a higher weight and lighter a lower weight. Each column represents a user in the neighborhood.

# Conclusion and Future Work

- We introduced a novel hybrid architecture augmented with a memory module and neural attention mechanism.
- We showed the strong connections between CMN and the classes of collaborative filtering methods.

In future work, we plan to

- address dialogue systems
- integrate content and contextual information
- perform adversarial training

# Thank You

Thank you ACM SIGIR for the generous travel grant.

# Questions

Questions?  
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Code Online:

<http://github.com/tebesu/CollaborativeMemoryNetwork>